

Negative ion surface production in H₂/D₂ plasmas

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Aix*Marseille université

MAIN AIM OF THE STUDY

 Controlled thermonuclear fusion is one of the most promising future energy sources



RELATION WITH THERMONUCLEAR FUSION

- Controlled thermonuclear fusion is one of the most promising future energy sources
- ITER is the world's largest experimental tokamak nuclear fusion reactor being built at the south of France



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- required electron temperature: 10 - 20 keV (~10⁸ °C)
- only 1 keV can be achieved with Ohmic heating



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- only 1 keV can be achieved with
 Ohmic heating one needs a



one needs additional heating methods!

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Neutrals of 1 MeV are needed to heat the ITER plasma core and ignite the fusion reactions

Why to use NI?

Ion neutralization



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At such an energy: $D^+ \rightarrow 0\%$ $D^- \rightarrow 56\%$ of neutralisation efficiency

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Necessary D⁻ current: ~ 50 A (250 A·m⁻²)

- ① Neutral beam injectors for fusion
 - we need negative-ions?

- ② Negative-ion sources for fusion
- How can we produce negative-ions ?
 - Interaction with surfaces
 - ITER
- **③DEMO:** New concepts in negative-ion sources?

Production of negative-ions in H₂ plasmas

• Negative ions can be formed in plasmas:

* In the plasma bulk (volume production)

* At the surfaces in interaction with the plasma (surface production).

Surface production

- Negative ions can also be created on surfaces:
 - H_x^+ + surface \rightarrow H⁻
 - H + surface \rightarrow H⁻
 - ...
- This process is called « Surface ionization »
- What is the origin of surface ionization ?
 How a particle can capture an electron from the surface ?

NBI for ITER

NBI - neutral beam injection



ELEMENTS OF SURFACE PHYSICS

• WHAT ARE THE MAIN PHYSICAL PARAMETERS THAT WE HAVE TO TAKE INTO ACCOUNT IN THE CHARGE EXCHANGE AT SURFACES?

Romind



Argon Crystal. Molecular (Flucuating dipole) Bonds. No transfer of charge.



Carbon Crystal (Covalent Bonds). Each Atom shares electrons with its neighbors.



Potassium Chloride (KCl). Ionic Crystal. An electron is transferred from the K to the Cl to make K+ and Clwhich then attract each other



Metallic bonding in Potassium. Electrons are completely delocalized into an electron gas which holds together the ions.

Electronic Density of States



Work function, electroaffinty

Work function: Energy between the Fermi level and the vacuum. For the semiconductors or insulators it's better to take into account the energy between the lower part of the conduction band and the vacuum, ie the electro affinity.



Heren	Φ	Heren	Φ	Heren	Φ
Æ	(eV)	G	(eV)	\$	(eV)
AG(10)	431		459	F &i	47
Ag(11)	452		448	Ъ	425
Æ(111)	474	C (m)	438	E(10)	415
Ba	252	Ir(11)	542	E(11)	486)
С	50	b(11)	56	E(11)	400
G	29	К	26)	Т	453
œ	45	Labe	265		455
G	214		460	Z	455

Work function for different materials

Example: Carbon



a) Graphite, b) diamond, c) fullerene, d) nanotube, e) graphene





(b)

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Tungsten W

- Atomic number: 74
- Atomic mass: 183
- Melting temperature : 3422°C



BCC structure



FIG. 2. Density of states of bcc tungsten for a=5.95 a.u. (in states/Ry atom).



FIG. 4. Electron energy band structure of fcc tungsten for a=7.55 a.u.

H.J.F Jansen, A.J Freeman, Phys.Rev. B vol.30 n°2 July 1984

INCIDENT PARTICLE

- Incident particle
- H (D) ions

•

Solid

Atom (ion)



Atom (or ion) in interaction with a metallic surface



Negative ion emission by metallic surface



image charge



H. Winter: Phys. Reports 367 (2002/387, 582)

- Electron affinity : energy required to detach the extra electron from a negative ion
 - H⁻/D⁻ are stable negative-ions but:

- The electron affinity is quite low: 0.75 eV







Negative H⁻ formation for different materials (Xiang-Yang PhD)



Negative H⁻ formation different C- materials (Xiang-Yang PhD)

References

- H. Winter: Phys. Reports 367 (2002/387,582)
- J.A. Scheer et al. Nuclear Instruments and methods in Physics research: B 230 (2005) 330-339.
- Yang Xiang : PhD 2013, Interactions d'atomes et d'ion H⁺ dans la création d'ions négatifs.

Surface production mechanisms Metallic surface



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Gilles Cartry et al 2017 New J. Phys.








VB

occupied states of the metal

























•What is the surface parameter playing the strongest role on the negative-ion yield at metal surface ?







To increase surface ionization, what is the material parameter we have to play with ?



H atom

Metallic surface ("standard" work function 4-5 eV)

H-

Z₁

H-











Conclusion on surface production mechanisms at metal surfaces

- Electron capture on a metal is efficient
- Electron loss is usually high

Surface ionization yield is therefore usually low on metals

- Low work function metals can strongly increased the surface ionization yield: capture is easier, loss is lower
- What are the low work-function metals ?
 - Alkaline and alkaline earth elements



- Cesium (Cs) has the lowest work-function: 2.14 eV
- Barium (Ba) is 2.5-2.7 eV...

Negative-Ion Sources for fusion

ITER Negative-Ion source (Max Planck Institute)

Inductively coupled ion source



Next steps

- Batman: first prototype
- MANITU: dedicated to cw operation
- ELISE (IPP Garching): Half-size ITER-type source in cw operation with 60 kV/10s beam extraction.
 - → to assess spatial uniformity of negative ion flux, validate or alter source concept
- SPIDER (RFX, Padua): Full size ITER source with full extraction voltage 100 keV, 3600s → to validate or alter source and extractor
- MITICA (RFX, Padua): Full size ITER source, 1 MeV, 3600s
 → to validate or alter accelerator and beamline components
- DNB source test facility (Ghandinagar, India), Full size ITER source, 100 keV, 3600s



Eurofusion workprogram

WPHCD: H&CD systems

Description

• H&CD System Engineering

The activities planned for 2014 include: the preparation of an initial CAD configuration model; the definition of design and analysis strategies; the analysis of system requirements and preparation of a draft System Requirement Document (SRD) and of a draft Load Specification (LS) (neutrons, heat loads etc.) including off-normal load conditions which may affect the system itself, or ports and launchers (arcing, VDEs, disruptions etc.).

NB R&D

The key activities within the work package are: (i) selecting of Cs alternatives and develop source technologies; (ii) investigation of candidate energy recovery solutions and write test specifications; and analysing power supply concepts taking into account energy recovery.

• EC R&D

The activities planned for 2014 are: the design and start of fabrication of a preprototype step-tuneable high frequency gyrotron including the analysis of the integrated gyrotron parts; and the analysis and development of candidate high power broadband window solutions.

Why eliminating cesium ?

- Cesium consumption is too high for a fusion power plant (10µg/s)
- Cesium diffusion inside the accelerator may cause breakdowns, parasitic beams...
- Long term operation stability (stable ion beam over very long period) is hard with cesium
- => imply a regular and restrictive maintenance in a nuclear environment
 - Alternative solutions to cesium would be highly valuable !

What are the way of research ?

- A better control of cesium to <u>strongly</u> reduce its use
- Other low work function materials ?
- New ion source design to improve negative-ion yield efficiency without cesium
- New materials enhancing surface production

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New materials enhancing surface production



Negative-ion enhancer materials metallic



What about the insulating materials ?

Electronic structure of insulator



or semiconductor

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Electronic structure of insulator



or semiconductor

Negative-ion enhancer insulating materials







Insulator













Insulators

Materials with conduction band above the vacuum level





Insulators

Materials with conduction band above the vacuum level



Insulators



Materials with conduction band above the vacuum level



Insulators





Insulators


Negative-ion enhancer materials







Experimental set-up





 H_2 and D_2 plasma > No magnetic field * **

Sample material: Formation of negative-ions

Experimental set-up



Experimental set-up



Negative-ions (i⁻) are self-extracted:

<u>Advantages</u>: simple extraction, sample materials can be changed easily

<u>Drawback</u>: Bad control of surface state. It is strongly dependent on plasma conditions and ion bombardment (Ex situ surface analysis)



Negative ion distribution function (IDF)



NI yield on carbon materials



Surface state can depend on:

- Surface temperature
- Bias time
- Bias voltage
- Plasma exposure time

Optimum for NI production





Microcrystalline boron-doped diamond: MCBDD

Microcrystalline non-doped diamond: MCD D. Kogut – H-index ANR meeting 21/04/2015

Negative ion yield at RT vs. V_s



- MCBDD is the best NI producer at RT and low bias.
- MCBDD is also easily polarized to the desired bias.
- After high bias exposure, the MCBDD surface produces 7 times less NI at V_s = -10V
 → the surface state change at high V_s is unfavorable for the NI production

NI yield on carbon materials

 $V_{s} = -130 V$





Microcrystalline boron-doped diamond: MCBDD

Microcrystalline non-doped diamond: MCD









MCBDD: time evolution $V_s = -130 V$



For diamond materials: •Surface degradation is unfavorable for NI production •At 400°C surface is partially recovered → enhanced NI yield

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Conclusion:

Diamond - successful NI enhancer (MCBDD most stable)

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Conclusion:

Diamond - successful NI enhancer (MCBDD most stable)
sp³ phase dominant, defects worsen the performance

MCBDD: time evolution $V_s = -130 V$



For diamond materials: •Surface degradation is unfavorable for NI production •At 400°C surface is partially recovered → enhanced NI yield

Conclusion:

Diamond - successful NI enhancer (MCBDD most stable)
Surface heating results in partial reconstruction (more details obtained by surface diagnostics)



Conclusion(?)

- Research on negative ion sources without Cs.
- Laboratory experimental apparatus
- Plasma-surface interactions
- Other materials
- Developement of an in-situ diagnostic for Work Function measurement.

Photoemission Yield spectroscopie



dr





Evolution of the photocurrent with the photon energy





Institute of Fusion Sciences and Instrumentation in Nuclear Environments ISFIN

Y. Marandet (CNRS, PIIM), Director G. Cartry (AMU, PIIM) Deputy for education, C. Reynard-Carette (AMU, IM2NP) Deputy for Research S. Ploquin-Donzenac (AMU, project manager)

Outline

- Introduction : AMU institutes
- Perimeter of the ISFIN institute : labs & faculty
- ► Research topics
- Setting up a graduate school

Structuration process of AMU launched in 2016 and nearing completion now

Creation of 13 (+5) Institutes, with the aim of

- building a **research identity** for the University
- strengthening the link between teaching and research : graduate schools
- having a knock on effect on the whole AMU teaching offer
- Backbone of AMU proposal for a call for project currently open to strengthen the links between teaching and research over the next ~10 years



General overview of AMU institutes



Outline

Introduction : AMU institutes

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- Both on Research and Teaching
- Several partners
 - > EDF
 - > IRSN

Outline

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> Research topics

Setting up a graduate school

Main Research Topics

- Plasma wall interactions and edge plasma physics
- Plasma confinement
- Instrumentation, sensors, microsensors, electronics
- Characterization & modelling of materials and structures
- Thermal diagnostics and measure of thermophysical properties
- Social sciences (Sociology, law, ...)

[E. Serre, M2P2] [Y. Camenen,PIIM]

[C. Reynard-Carette, IM2NP] [N. Favretto-Cristini,LMA]

[J.-L. Gardarein, IUSTI] [C. Mattina, LAMES]

Most of this research is conducted in close partnership with CEA







Plasma wall interactions and edge plasma physics



Advanced MUlti-beams experiment for Plasma Surface Interaction studies





C. Pardanaud et al.

Y. Ferro et al.

R. Bisson et al.

Plasma wall interactions and edge plasma physics

Edge numerical modeling Soledge/Tokam3X



M2P2/IRFM (E. Serre, P. Tamain)

Thermal Diagnostics for WEST



IUSTI (J.-L Gardarein, J. Gaspard)

Interpretative modelling for WEST



PIIM/IRFM (A. Gallo, G. Ciraolo)

Plasma confinement

- Fundamentals of gyrokinetics theory (Vitttot, Chandre CPT, I2M)
- Integrated modelling & model reduction through neural networks (Camenen, Bourdelle, PIIM/IRFM)
- Fast particles physics (Zarzoso PIIM/IRFM)
- Interactions MHD/Turbulence (Agullo PIIM/IRFM)





O. Agullo et al.

Graduate School perimeter

- Master of Physics & Master of Instrumentation, measurements and metrology
- PhD Program
- Short courses, seminars
- Summer Schools (ITER School, EFMMIN, ...)

Internationalization is key indicator, starting from the master

- Building on the European master of Science in Nuclear Fusion and Engineering Physics coordinated by AMU since 2018 et ERASMUS MUNDUS since 2019 (P. Beyer)
- Creating a new international lecture track on the instrumentation of large nuclear installations (M. Carette)
- > Integrating social sciences (interculturality, problem-based learning), with contributions from MIT


Research axis in Instrumentation, Sensor, Microsensor and Electronics

- Within the framework of the LIMMEX joint laboratory (Lab. of Instrumentation, Measurement under EXtrem conditions)
- R&D for Instrumentation and Measurements under harsh conditions
- Application field: Fission and more recently fusion
- > Three research topics:
 - Nuclear instrumentation/detection
 - Photon and neutron measurements (SiC and Diamond detectors, Fission and ionization chambers, SPNDs, SPGDs, dosimeters, TLD, OSLD)
 - Thermal measurements
 - Nuclear absorbed dose rate (nuclear heating rate) measurements (differential calorimeters, single-cell calorimeter, gamma thermometer)
 - Thermal properties (DSC, LFA, Conductimeter)
 - New materials for detection
- From materials to electronics



Research axis in Instrumentation, Sensor, Microsensor and Electronics



> Challenges

Online measurements under irradiation conditions (Jules Horowitz Reactor)

- for the quantification of experimental conditions inside channel before the integration of irradiation device

- for the measurement of key parameters during in-pile study on the accelerated ageing of materials and on nuclear fuels (understanding product the behavior) ${\rm Gree}_{{\rm KGy/s}}$

- for the monitoring of the structure

- Miniaturization of sensors and detectors, innovative sensors
- Improvement of **metrological characteristics** of sensors

(sensitivity, linearity, range calibration)

- Inter-comparison/ coupling of sensors and associated methods
- Nuclear instrumentation for new conditions and/or new reactors (Fusion)





n/(cm²s) f E>1MeV 16 DPA/year 20W/g JHR s ≤ 20kGy/s

or W/g

100MWth

5.5 10¹⁴

1

0

2025

Research axis in Materials and Structures (Mechanics, Acoustics, Modeling, Experiments)

- Within the framework of a joint laboratory MISTRAL (Modeling, Inspection and characterization of materials and STRuctures for Advanced Low carbon energies)
- **Two main topics**
 - Prediction and analysis of material and structure behavior
 - o Development of theoretical models and numerical tools to study and describe
 - effect of microstructural parameters at macroscopic scale (homogenization theories)
 - local behavior (e.g. interactions between fuel pellets and cladding) and/or the global behavior (e.g. interactions between fluid flow and fuel assembly) based on micro/meso/macro and multi-physics coupling
 - o Computational fluid dynamics modeling (with diphasic aspects for instance)

(Fusion: ongoing PhD on mechanical modeling of supraconductors wires)

- Structure Health Monitoring (at different scales, from the reactor to the containment barriers) using non destructive acoustical methods
 - Detection and characterization of defaults (e.g. cracks, cladding rupture, welding...) in structures
 - Modeling and quantification of the pathologies developed in damaged areas
 - Development of experimental procedures for real-time monitoring of integrity of structures







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PhD Program

20 phD positions co-funded over 5 years

- Focused on the intersection of priorities of the institute and the partners
- Select topics first, students next, possibly on year N+1
- Possibility for PhD students to apply for mobility (proposal writing, ...)
- Other PhD students working in Fusion/Fission associated to the events (20 PhD students per year), as well as master students

Conclusions

- Fusion & Nuclear instrumentation formally identified as a key topic for AMU over the next 5-10 years
- > The institute is the **entry point** for fusion/fission related business at AMU
- Most of the research is in close collaboration with CEA
- Action focused on the PhD & Master program in view to strengthen the link between training & research
- Promotes the transfers from techniques developed for fission to fusion
- Additional points : ANIMMA Conference, ...

Back-up slides

ANIMMA CONFERENCE

(Advancements in Nuclear Instrumentation Measurement Methods and their Applications)

- □ Created in 2009
- □ Involving AMU, CEA, SCK-CEN, JSI, IEEE NPSS
- □ 6 editions (2009-France, 2011-Belgium, 2013-France, 2015-Portugal, 2017-Belgium, 2019-Slovenia) + 1 edition (2021-Czech Republic)
- **Composed of**
 - Several sessions/topics
 - Fundamental physics
 - Fusion diagnostics and technology
 - o Nuclear power reactors monitoring and control
 - Research reactors
 - o Nuclear fuel cycle
 - Keynotes, Plenaries, Invited, Orals, Posters
 - Workshops (since 2013) (Fission and Fusion topics)
 - Short-courses with practices
 - Industrial exhibition
 - > Visit
 - □ 350-450 participants per edition
 - Proceedings published and articles in IEEE journals (IEEE TNS, IEEE TPS)

- Decommissioning, dismantling and remote handling
- o Safeguards, homeland security
- Severe accident monitoring
- Environmental and medical sciences
- Education, training and outreach







The sixth international conference on Advancements in Nuclear instrumentation Measurement Methods and their Applications (ANIMMA will take place from 17 to 21 June 2019 in Grand Hotel Bernardin, Porteraco, Slovenia.



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EFMMIN SCHOOL

(Ecole Franco-Marocaine de la Mesure et de l'Instrumentation Nucléaires)

- **Created in 2010**
- □ Involving AMU, CEA, CNESTEN, Mohammed V University, AMSSNUR
- **5** editions + 1 edition (2020)

> A specific topic per edition

- Research reactors (2010-Morroco)
- Nuclear fuel cycle (2011-France)
- o Medicine and environment (2014-Morroco)
- o Dismantling and radioactive waste characterization (2016-France)
- Nuclear and radiological safety and security (2018-Morroco)
- Innovative detectors and sensors (2020-France)
- Conferences, Courses, workshops, posters, visits
- □ 50 participants (PhD, Post-Doc, M2, Young researchers ...) + 10 speakers



Aix+Marseille

Faculté des Sciences

AMSSNUR

ence Marocaine de Süreté et

Sécurité Nucléaires et Radiologiques





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Master's degree in INSTRUMENTATION, MEASUREMENT, METROLOGY

- □ Created in 2004 inside the Filière Instrumentation (Department of Physics, Faculty of Sciences, AMU)
- □ Up to 150 students per year
- **Quality certification (ISO 9001)**
- **Committee involving socio-economic partners**
- **EDF** agreement (internship grants, seminars, visits)
- - involving CEA, EDF, CFA, MIT, SCK-CEN, CNESTEN, NCBJ, JSI
- Alumni network
- □ Various programs (apprenticeship, initial training program, continuing education, prior experimental learning)
- □ A high professional integration rate
- □ At present 4 courses
 - in particular "Test Facilities Instrumentation course" owning an agreement with CEA/INSTN (specialization in nuclear instrumentation and detection)
- Action: creation of a new international course dedicated to instrumentation for nuclear research reactors and huge equipment





1 1 9 Figure 7 from Alternative solutions to caesium in negative-ion sources: a study of negative-ion surface production on diamond in H2/D2 plasmas

Gilles Cartry et al 2017 New J. Phys. 19 025010 doi:10.1088/1367-2630/aa5f1f



Outline

Accelerators

Satellite

• Negative-ions outside fusion ?

Space propulsion

Microelectronics

AMS

SIMS





From Ane Aanesland, LPP, Polytechnic School, Paris



Space propulsion



From Dennis Gerst PhD thesis, ICARE Laboratory, Orléans

Snace propulsion





Space propulsion











Outline

Accelerators

Satellite

• Negative-ions outside fusion ?

Space propulsion

Microelectronics

AMS

SIMS

Space satellites

Space satellites



- They cannot be detected directly because of the photon background and their low energy
- They have to be ionized and accelerated to be detected







Heliosphere

Space satellites How can we ionize low energy neutrals?



Heliosphere



Magnetosphere

Space satellites How can we ionize low energy neutrals?

The most efficient way has been recognized to be neutral to negative-ion surface conversion !
States indication of the factor of the state of the state

Electron ionization efficiency: 10⁻³-10⁻⁶ %, Carbon foil method not operating in this range of energy



Heliosphere





Magnetosphere

Space satellites What kind of converter material should we use ?



Heliosphere



Converter surface



Magnetosphere

Space satellites What kind of converter material should we use ?



Space satellites What kind of converter material should we use ?



Outline

Accelerators

Satellite

• Negative-ions outside fusion ?

Space propulsion

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AMS

SIMS













200 nm

Poly-Si Electrode Thermal SiO₂ SiO₂ P+ Doped Silicon N Doped Silicon P Doped Silicon Nitride Cobalt Silicide BPSG Tungsten **OSG** or other Dielectric **Barrier Liner** Copper FSG ead-free Solder





200 nm



Why plasma etching ?



Chemical etching => Isotropic etching

Why plasma etching ?



Plasma etching => Anisotropic etching



- Ions weaken bonds only at the bottom of the trench
- No etching of sidewalls
Microelectronics

Why plasma etching ?



- Ions weaken bonds only at the bottom of the trench
- No etching of sidewalls

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